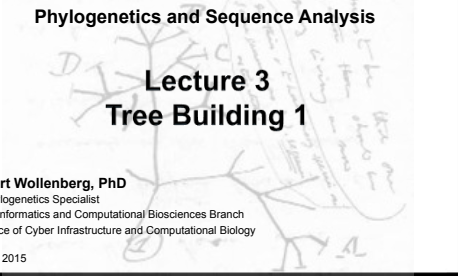


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Phylogenetics and Sequence Analysis

Lecture 3 Tree Building 1

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Phylogenetics Specialist
Bioinformatics and Computational Biosciences Branch
Office of Cyber Infrastructure and Computational Biology
Fall 2015




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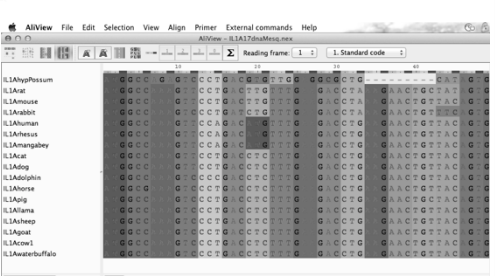
Course Organization

- Building a clean sequence
- Collecting homologs
- Aligning your sequences
- **Building trees**
- Further Analysis




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Multiple Sequence Alignment




Alignment: 17 sequences 849 pos.



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What's next?


Building trees with our MSA



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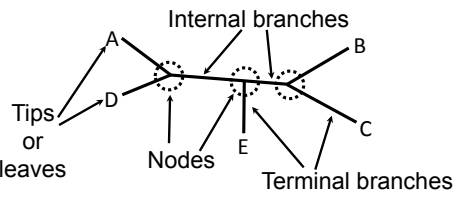
What is a phylogenetic tree?

- Reconstruction of biological history
- Based on similarities and differences among homologous attributes (characters) of the entities under scrutiny
- Molecular characters (sequences, usually) are most often found only in extant organisms



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What is a phylogenetic tree?



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What is a phylogenetic tree?

Unrooted

Rooted

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Two approaches to tree building

- Application of an algorithm to build the best tree from the data
- Evaluation of multiple possible best trees using an optimality criterion

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The algorithm approach: Distance Methods

- Distance calculated based on a specific substitution model (J-C, Kimura, BLOSUM64, etc.)
- Distances from each sequence to all others are calculated and stored in a matrix
- Tree then calculated from the distance matrix using a specific tree-building algorithm

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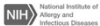
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The algorithm approach: Distance Methods

Tree-Building Algorithms

- UPGMA
- Neighbor-Joining

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The algorithm approach: Neighbor-joining Calculation

	A	B	C	D	E	R	R/(N-2)
A	-	0.1715	0.2147	0.3091	0.2326	0.9279	0.3093
B	-0.4766	-	0.2991	0.3399	0.2058	1.0163	0.3388
C	-0.4905	-0.4356	-	0.2795	0.3943	1.1876	0.3959
D	-0.4527	-0.4514	-0.5689	-	0.4289	1.3574	0.4525
E	-0.4972	-0.5535	-0.4221	-0.4441	-	1.2616	0.4205

C to Node 1 distance = $0.2795/2 + (0.3959 - 0.4525)/2 = 0.1114$
 D to Node 1 distance = $0.2795 - 0.1114 = 0.1681$

A to Node 1 distance = $(0.2147 + 0.3091 - 0.2795)/2 = 0.1222$
 B to Node 1 distance = $(0.2991 + 0.3399 - 0.2795)/2 = 0.1798$
 E to Node 1 distance = $(0.3943 + 0.4298 - 0.2795)/2 = 0.2719$

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Hillis, Moritz, and Mable 1996, p. 489


The algorithm approach: Neighbor-joining Calculation

	A	B	E	Node 1	R	R/(N-2)
A	-	0.1715	0.2326	0.1222	0.5263	0.2631
B	-0.3701	-	0.2058	0.1798	0.5571	0.2785
E	-0.3856	-0.4278	-	0.2719	0.7103	0.3551
Node 1	-0.4278	-0.3856	-0.3701	-	0.5739	0.2869

A to Node 2 distance = $0.1222/2 + (0.2631 - 0.2869)/2 = 0.0492$
 Node 1 to Node 2 distance = $0.1222 - 0.0492 = 0.0730$

B to Node 2 distance = $(0.1715 + 0.1798 - 0.1222)/2 = 0.1146$
 E to Node 2 distance = $(0.2326 + 0.2719 - 0.1222)/2 = 0.1912$

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Hillis, Moritz, and Mable 1996, p. 489

The algorithm approach: Neighbor-joining Calculation

	B	E	Node 2	R	R/(N-2)
B	-	0.2058	0.1146	0.3204	0.3204
E	-0.5116	-	0.1912	0.3970	0.3970
Node 2	-0.5116	-0.5116	-	0.3058	0.3058

B to Node 3 distance = $0.1146/2 + (0.3204 - 0.3058)/2 = 0.0646$
Node 2 to Node 3 distance = $0.1146 - 0.0646 = 0.0500$
E to Node 3 distance = $(0.2058 + 0.1912 - 0.1146)/2 = 0.1412$

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 Hillis, Moritz, and Mable 1996, p. 489

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The algorithm approach: Neighbor-joining Calculation

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The optimality criterion approach

- Build a tree or trees
- Evaluate the tree(s) using a specific numerical optimality criterion
- Most common optimality criteria
 - Maximum parsimony
 - Maximum likelihood
- Explore tree space to find the optimal tree

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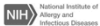
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Optimality Criterion: Parsimony

Occam's Razor: The simplest explanation is the preferred explanation.



The tree requiring the minimal number of changes is the optimal tree.

A step is any change in the data from one state to another



The optimality criterion approach



- Build the initial tree
 - Construct a neighbor-joining tree
 - Stepwise addition
- Calculate the tree score
 - Count steps (parsimony)
 - Calculate likelihood of the data given the tree
- Explore tree space
 - Branch swapping
 - Tree bisection and reconnection (TBR)
- Is this the best tree? (Stopping criteria)



The optimality criterion approach

Building the initial tree

- Stepwise addition
- Choose three taxa and join
 - Random, or closest
- Select a new taxon to add
- Calculate the optimal 4-taxon tree
- Repeat until all taxa are joined



The optimality criterion approach

Building the initial tree

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The optimality criterion approach

Exploring tree space: Branch swapping

- Nearest neighbor interchange
- Subtree pruning and regrafting
- Tree bisection and reconnection

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The optimality criterion approach

Branch swapping: Tree bisection and reconnection

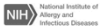
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The optimality criterion approach

Exploring tree space


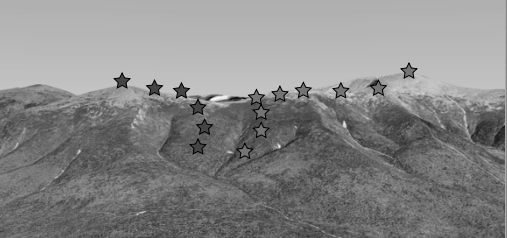
Beware! Hill climbing can often lead to local maxima rather than a global solution.



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The optimality criterion approach

Exploring tree space

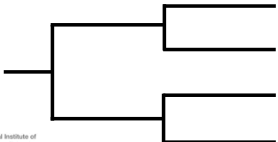



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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

The tree	The data
	S1: AAG S2: AAA S3: GGA S4: AGA



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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

Position 1 The tree The data

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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

Position 2 The tree The data

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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

Position 3 The tree The data

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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

The tree The data

S1: AAG
S2: AAA
S3: GGA
S4: AGA

Total steps: 3

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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

The tree The data

S1: AAG
S3: GGA
S2: AAA
S4: AGA

Total steps: 4

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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)

The tree The data

S1: AAG
S4: AGA
S2: AAA
S3: GGA

Total steps: 4

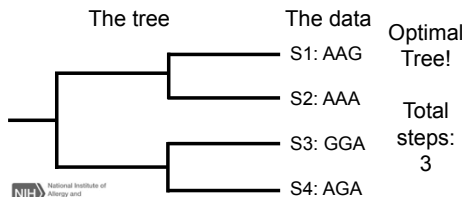
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The optimality criterion approach

Is this tree optimal?

Counting changes (Fitch parsimony)



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Multiple Sequence Alignment

Export MSA as FASTA and PHYLIP formats

FASTA

```

>1823
MGKQVH HGSPTLS c. Virus gene for phi369etaIn_csmTere.cdb
MAGKQVHGSPTLS...

```

PHYLIP

```

1 9 1823
MGKQVH GSPTLS...

```

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Multiple Sequence Alignment

Phylogenetics program input file formats

PHYLIP

1st line: Number of sequences(space)Number of sites
 2nd line: Sequence ID (10 characters max) Sequence

```

9 1823
MGKQVH GSPTLS...

```

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Multiple Sequence Alignment

Phylogenetics program input file formats



NEXUS

```

#NEXUS
[Name: HCVF050      Len: 1823 Check: 5A341084]
[Name: HCVF142     Len: 1823 Check: AB5C0876]
[Name: HCVF169     Len: 1823 Check: 7EAF66DA]
[Name: SE8307169   Len: 1823 Check: 1E7F8403]
[Name: HCVF221     Len: 1823 Check: 3D0C96F9]
[Name: HD2_2       Len: 1823 Check: 1E2A0948]
[Name: HCV1b       Len: 1823 Check: BC2907F8]
[Name: Cont190001  Len: 1823 Check: CD240524]
[Name: HCVF140     Len: 1823 Check: 2A5C0D4E]

begin data;
  dimensions ntax=9 nchar=1823;
  format datATYPE=dna Interleave missing=-;
matrix
HCVF050  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCGTGGAGACGT
HCVF142  GGTCTTGGTCTACTGTGAGT  GAGGAGGCCCTGAGAGACT
HCVF169  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTAGTGGAGACT
SE8307169  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTGTGGAGACT
HCVF221  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCGTGGAGACT
HD2_2   GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTAGTGGAGACT
HCV1b   GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTGTGGAGACT
Cont19000  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTAGTGGAGACT
HCVF140  GGTCTTGGTCTACTGTGAGC  GAGGAGGCCCTGTGGAGACT
;
end;



```

Multiple Sequence Alignment



Phylogenetics program input data guidelines

- Make sequence IDs different in the first ten characters
- Only letters, numbers, and “_” in sequence IDs
- Make sure all sequences overlap each other

How reliable are my trees?

Bootstrapping (nonparametric)

Bootstrapping the ideal world

Build replicates by resampling from unlimited data

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Bootstrapping the real world

Build pseudoreplicates of unlimited data by sampling with replacement from limited data

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Let's build some trees!

Phylogenetic programs (distance and parsimony)

PAUP* – Phylogenetic Analysis Using Parsimony
*and other methods

PHYLIP – a suite of phylogenetic programs

MEGA – An integrated phylogenetic analysis package

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MEGA6

Imported sequence alignment: Alignment Explorer

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MEGA6

Imported sequence alignment: Alignment Explorer

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MEGA6

Imported sequence alignment: Alignment Explorer

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MEGA6

Phylogeny Construction: Neighbor-Joining

The screenshot shows the MEGA6 software interface. The 'Phylogeny' menu is open, and 'Neighbor-Joining' is highlighted. Other options include 'Maximum Likelihood', 'Distance', 'UPGMA', and 'Maximum Parsimony'. A vertical label 'MEGA6' is on the right, and 'NIAD' is written vertically on the far right.

MEGA6

Phylogeny Construction: Neighbor-Joining

The screenshot shows the 'Analysis Preferences' dialog box in MEGA6. The 'Statistical Method' is set to 'Neighbor-joining'. Under 'Model/Method', 'Maximum Composite Likelihood' is selected. Other settings include 'Substitutions Type: Nucleotide', 'Substitutions to Include: 4 (Transitions + Transversions)', and 'Pattern among Lineages: Same (Homogeneous)'. A vertical label 'NIAD' is on the right.

MEGA6

Phylogeny Construction: Neighbor-Joining

The screenshot shows the 'Analysis Preferences' dialog box in MEGA6. The 'Statistical Method' is 'Neighbor-joining'. Under 'Model/Method', 'Gamma 2-parameter model' is selected. Under 'Substitutions to Include', '4 (Transitions + Transversions)' is selected. Under 'Rates among Sites', 'Gamma Distributed (G)' is selected. Under 'Pattern among Lineages', 'Same (Homogeneous)' is selected. A vertical label 'NIAD' is on the right.

MEGA6

Phylogeny Construction: Neighbor-Joining

MEGA Analysis Preferences

Option: Selection

Analysis: Phylogeny Reconstruction

Scope: All Selected Taxa

Statistical Method: Neighbor-Joining

Phylogeny Test

Test of Phylogeny: None

Number of Bootstrap Replications: 100

Substitution Method: Bootstrap method

Substitution Model: Nucleotide

Model: Kimura 2-parameter model

Model: Gamma 2-parameter model

Substitutions to Exclude: 4: Transitions + Transversions

Rates among Sites: Gamma Distributed (5)

Gamma For a site: 1.2

Patterns among Lineages: Same (homogeneous)

Data Subject to Use: Partial deletion

Gap/Missing Data Treatment: Partial deletion

Site Coverage Cutoff (%): 95

Select Codon Positions: 1st 2nd 3rd Noncoding Sites

Buttons: Help | Compute | Cancel

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MEGA6

Phylogeny Construction: Neighbor-Joining

MEGA Analysis Preferences

Option: Selection

Analysis: Phylogeny Reconstruction

Scope: All Selected Taxa

Statistical Method: Neighbor-Joining

Phylogeny Test

Test of Phylogeny: Bootstrap method

Number of Bootstrap Replications: 100

Substitution Method: Bootstrap method

Substitution Model: Nucleotide

Model: Kimura 2-parameter model

Model: Gamma 2-parameter model

Substitutions to Exclude: 4: Transitions + Transversions

Rates among Sites: Gamma Distributed (5)

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Site Coverage Cutoff (%): 95

Select Codon Positions: 1st 2nd 3rd Noncoding Sites

Buttons: Help | Compute | Cancel

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MEGA6

Phylogeny Construction: Neighbor-Joining

MEGA Tree Explorer

Original tree | Bootstrap consensus tree

Scale bar: 0.1

Tree nodes (bootstrap values): 100, 47, 61, 31, 25, 97, 100, 100, 61, 61, 100

Taxa: L1Ashoop, L1Agout, L1Macart, L1Austrobuto, L1Abaena, L1Aadipha, L1Aang, L1Ahorne, L1Ahor, L1Ahorso, L1Ahuman, L1Aadessu, L1Aangabab, L1Ahor, L1Aang, L1Aoride, L1AngPassum

Scale: 01

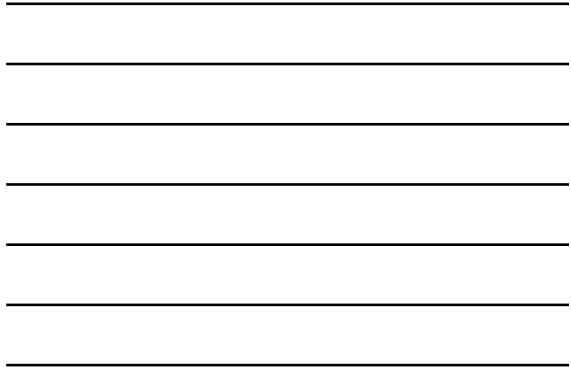
Status: SEL = 1.20306029

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MEGA6

Phylogeny Construction: Neighbor-Joining

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MEGA6

Phylogeny Construction: Neighbor-Joining

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MEGA6

Phylogeny Construction: The Caption Option

MEGA6 Caption Expert: Tree Explorer

Figures. Evolutionary relationships of taxa
 The evolutionary history was inferred using the Neighbor-Joining method [1]. The optimal tree with the least branch length = 1.93461619 is shown. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (500 replicates) are shown next to the branches [2]. The tree is drawn to scale, with branch lengths in the same units as those of the evolutionary distances used to infer the phylogenetic tree. The evolutionary distances were computed using the Tamura-Nei parameter method [3] and are in the units of the number of base substitutions per site. The rate variation among sites was modeled with a gamma distribution shape parameter = 1.2. The analysis involved 11 nucleotide sequences. Codon positions included were 1st, 2nd, and 3rd. All positions with less than 95% site coverage were eliminated. That is, fewer than 5% alignment gaps, missing data, and ambiguous bases were allowed at any position. There was a total of 617 positions in the final dataset. Evolutionary analyses were conducted in MEGA6 [4].

1. Saitoh N, and Nei M. 1987. The neighbour-joining method: A new method for reconstructing phylogenetic trees. *Molecular Biology and Evolution* 4:406-425.
2. Felsenstein J. 1985. Confidence limits on phylogenies: An approach using the bootstrap. *Evolution* 39:783-791.
3. Tamura K. 1991. A simple method for estimating evolutionary rate of base substitutions through comparative studies of nucleotide sequences. *Molecular Evolution* 9:512-526.
4. Tamura K., Nei M., Olsen G. J., and Li W. H. 1993. MEGA: Molecular Evolutionary Genetic Analysis version 1.0. *Bioinformatics* 9:174-176.

Disclaimer: Although MEGA6 software can be used to infer the evolutionary relationships of DNA, RNA, and protein sequences, the user assumes full responsibility for the accuracy and validity of the results. MEGA6 is not intended to be used as a substitute for expert consultation with a qualified professional in the field. The authors and developers assume no responsibility for any consequences arising from the use of the software. MEGA6 is distributed as a free software under the terms of the GNU General Public License (GPL) version 2.0. All rights reserved. © 2013-2014, Tamura, Nei, Olsen, and Li. All rights reserved.

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Recapitulation

Where have we been? What have we done?

- What is a phylogenetic tree?
- How to build trees
 - Distance
 - Parsimony
- Calculated bootstrap support



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Seminar Follow-Up Site

- For access to past recordings, handouts, slides visit this site from the NIH network: <http://collab.niaid.nih.gov/sites/research/SIG/Bioinformatics/>

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View: Seminar Details, Handout and Reference Docs, Relevant Links, Seminar Recording Links

Recommended Browsers:

- IE for Windows,
- Safari for Mac (Firefox on a Mac is incompatible with NIH Authentication technology)

Login

- If prompted to log in use "NIH" in front of your username



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This lecture

These slides

Seminar Details

This lecture is part of a series of seminars on Phylogenetics presented by the NCAD Bioinformatics and Computational Biosciences Branch (BCBB).

This course will cover:

- Basics of Phylogenetics
- Phylogenetic tree reconstruction
- Phylogenetic tree visualization
- Phylogenetic tree analysis
- Phylogenetic tree interpretation

Additional Seminars and Related Documents

File	URL	Size	Created	Modified
Phylogenetics_Seminar_1.pdf	Phylogenetics_Seminar_1.pdf	1.2 MB	11/10/15 10:00 AM	11/10/15 10:00 AM
Phylogenetics_Seminar_2.pdf	Phylogenetics_Seminar_2.pdf	1.2 MB	11/10/15 10:00 AM	11/10/15 10:00 AM
Phylogenetics_Seminar_3.pdf	Phylogenetics_Seminar_3.pdf	1.2 MB	11/10/15 10:00 AM	11/10/15 10:00 AM

Links Related to this Seminar

Seminar Recording Links

Seminar Date: 11/10/15 10:00 AM
 Web Seminar Recording Site: [https://www.nih.gov/ncad/bcbb](#)
 Video Downloading Site: [https://www.nih.gov/ncad/bcbb](#)

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Questions?

Consultation & Advice | Software Development | Bioinformatics Resources

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Next

Tuesday, 17 November at 0930

jModeltest: Fitting analysis parameters to your data

GARLi: Genetic Algorithm for Rapid Likelihood inference

MrBayes: Robust statistical phylogeny inference

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