

National Institute of Allergy and Infectious Diseases

## Phylogenetics and Sequence Analysis

### Lecture 6: Selection Analysis Using HyPhy

Kurt Wollenberg, PhD  
Phylogenetics Specialist  
Bioinformatics and Computational Biology Branch (BCBB)  
Fall 2015

NIAID

NIH National Institute of Allergy and Infectious Diseases

---

---

---

---

---

---

0 ← d → ∞

NIAID

NIH National Institute of Allergy and Infectious Diseases

---

---

---

---

---

---

## Course Organization

- Building a clean sequence
- Collecting homologs
- Aligning your sequences
- Building trees
- **Further Analysis**

NIAID

NIH National Institute of Allergy and Infectious Diseases

---

---

---

---

---

---

## Lecture Organization

- What is selection?
  - What does selection look like?
  - HyPhy: using maximum likelihood to quantify selection
  - HyPhy input
  - Interpreting your output

 National Institute of  
Allergy and  
Infectious Diseases

NNAID

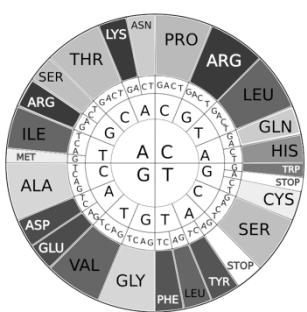
## What is selection?

- Mutation – the source of all variation
  - Substitution – changing one nucleotide to another
    - Synonymous substitution
    - Non-synonymous substitution
      - Conservative substitution

 National Institute of  
Allergy and  
Infectious Diseases

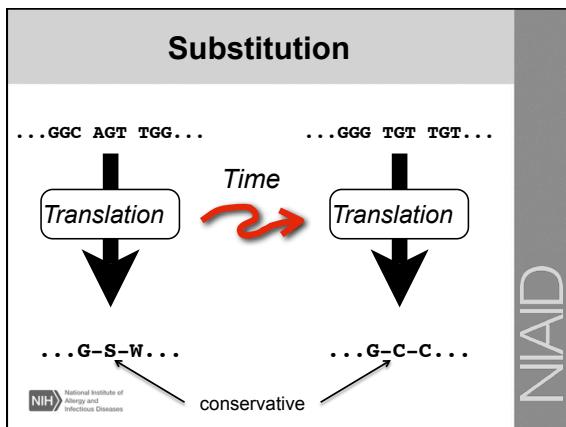
NNAID

**Synonymous/non-synonymous  
Conservative/non-conservative**



 National Inst  
Allergy and  
Infectious Di

NIAID




---

---

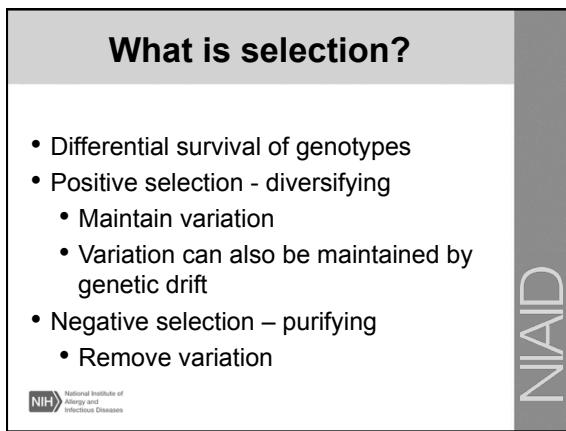
---

---

---

---

---




---

---

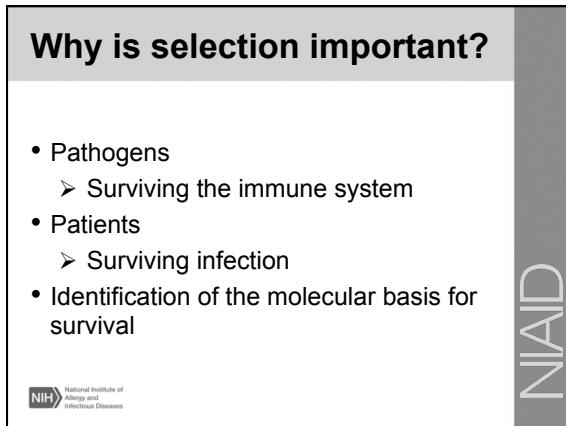
---

---

---

---

---




---

---

---

---

---

---

---

## What is selection analysis?

- Determining the dN/dS ratio
  - Inference of ancestral states
  - Determination of the effect of inferred nucleotide changes on amino acids
- Is dN/dS significantly different from 1?



NIAID

---



---



---



---



---



---



---



---

## What is selection analysis?

- Positive (Diversifying) Selection  
 $dN/dS > 1$
- Negative (Purifying) Selection  
 $dN/dS < 1$



NIAID

---



---



---



---



---



---



---



---

## Two types of selection analysis

- Selection at sites
  - Are there significant levels of non-synonymous substitution at specific codons?
  - Averaged across lineages.
- Selection along lineages
  - Are there significant levels of non-synonymous substitution in specific groups?
  - Averaged across sequences.



NIAID

---



---



---



---



---



---



---



---

## Two types of selection analysis

**Selection at sites (codons)**

Selection along lineages

NIH National Institute of Allergy and Infectious Diseases

NIAID

---



---



---



---



---



---



---



---

## Calculating dN/dS

- Estimate transition/transversion rate ratio
  - Transition:  $A \leftrightarrow G$   $C \leftrightarrow T$        $A \leftrightarrow G$  purines
  - Transversion: purine  $\leftrightarrow$  purimidine       $C \leftrightarrow T$  pyrimidines
  - Based on four-fold degenerate sites at third codon positions and nondegenerate sites.
- Count synonymous and nonsynonymous substitutions for each codon site or along each lineage.
  - Use ML estimates of ancestral codon states.
- Correct counts for multiple hits.
- Fitting the data to a distribution of dN/dS ratios.
  - Calculate the probability of dN/dS falling into a particular class.

NIH National Institute of Allergy and Infectious Diseases

NIAID

---



---



---



---



---



---



---



---

## HyPhy: the software

<http://datammonkey.org>

- Input data format
  - Sequences must be aligned.
  - Fasta, PHYLIP, or Nexus format.
  - Include the tree after the alignment.
- Program options
  - Analysis scripts.

NIH National Institute of Allergy and Infectious Diseases

NIAID

---



---



---



---



---



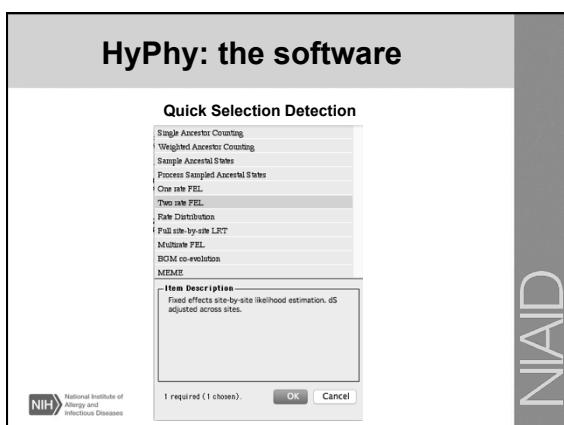
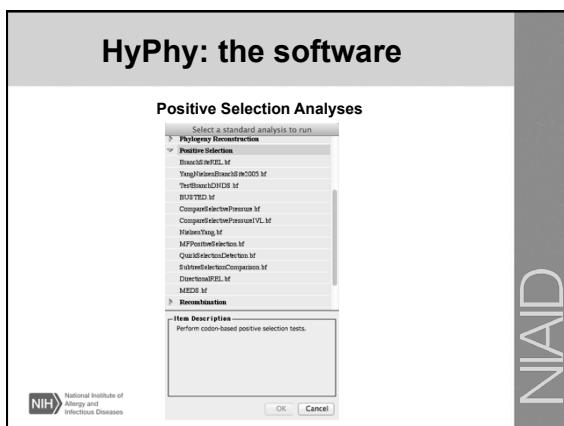
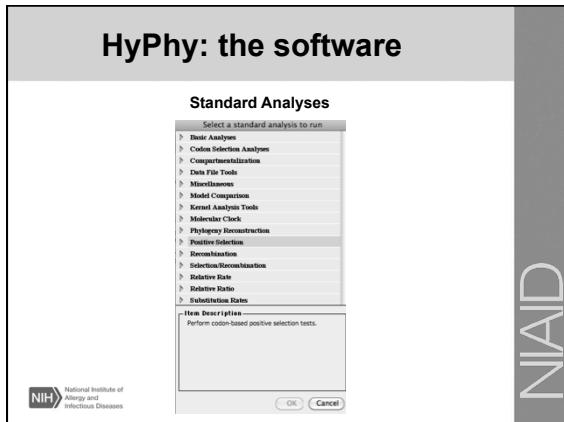
---



---



---



The screenshot shows the HyPhy software interface with the title "HyPhy: the software" at the top. Below it is a "Quick Selection Detection" dialog box. The dialog box contains a list of selection methods: Single Ancestor Counting, Weighted Ancestor Counting, Sample Ancestral States, Process Sampled Ancestral States, One site FEL, Two site FEL, Rate Distribution, Full site-by-site LR<sup>a</sup>, Multiple FEL, BGM or evolution, and MIMIC. The "MIMIC" option is highlighted with a gray background. Below this list is a section titled "Item Description" which states: "Mixed effects model of evolution to search for evidence of episodic selection at individual sites [EXPERIMENTAL, March 2011]". At the bottom of the dialog box are buttons for "OK" and "Cancel". A small NIH logo is in the bottom left corner.

---

---

---

---

---

---

---

---

---

---

# HyPhy: the software

---

---

---

---

---

---

# HyPhy: the software

## The MEME Algorithm

### Mixed Effects Model of Evolution

- Analyzes levels of positive selection at individual sites while allowing level of positive selection to vary from branch to branch.
- Other models (FEL, REL, etc.) assume level of positive selection to be uniform across all branches.
- Includes site-to-site variation in synonymous substitution rate, just like FEL.

---

---

---

---

---

---

---

**HyPhy: Input file formats**

**Fasta**

No stop codons!

```
>MC1_01B4fs
>MC1_01A10
>MC1_01C1
>MC1_01A20
>MC1_01TA1
((MC1_01B4fs, MC1_01A10), MC1_01C1, (MC1_01A20, MC1_01TA1));
```

Make sure these match!

NIAID

NIH National Institute of Allergy and Infectious Diseases

---



---



---



---



---



---



---



---

**HyPhy: Input file formats**

**Fasta - interleaved**

No stop codons!

```
>MC1_01B4fs
>MC1_01A10
>MC1_01C1
>MC1_01A20
>MC1_01TA1
((MC1_01B4fs, MC1_01A10), MC1_01C1, (MC1_01A20, MC1_01TA1));
```

Make sure these match!

NIAID

NIH National Institute of Allergy and Infectious Diseases

---



---



---



---



---



---



---



---

**HyPhy: Input file formats**

**PHLYIP**

No stop codons!

```
S 100 I
>MC1_01B4fs  TGGCACTATA ACCCGATT-----AAT ATGACTTGAGA ATACCTAA
>MC1_01A10  TGGCACTATA ACCCGAACG GGTATTAAG ACCGATGGA AGCTTAA
>MC1_01C1  TGGCACTATA ACCCGATT-----AAT ATGACTTGAGA ATACCTAA
>MC1_01A20  TGGCACTATA ACCTGACAA GGCTAGTAAK GCCTTGAGA AGGCTTAA
>MC1_01TA1  TGGCACTATA ACCCGATT-----AAT ATGACTTGAGA ATACCTAA
((MC1_01B4fs, MC1_01A10), MC1_01C1, (MC1_01A20, MC1_01TA1));
```

Make sure these match!

NIAID

NIH National Institute of Allergy and Infectious Diseases

---



---



---



---



---



---



---



---

**HyPhy: Input file formats**

**Nexus**

```

#NEXUS
Begin data;
  Dimensions ntax=5 nchar=100;
  Format datatype=DNA gap=- interleave;
  No stop codons!
MATRIX
  MC1_01B4fs  TGCCTAAATA ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
  MC1_01A10  TGCACT-----A ATCTGACAAA GGCTTATTAG ACCAATGGGA ATGCTTAATAA
  MC1_01C1  TGCCTAAATA ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
  MC1_01A20  TGCCTAAATA ATCTGACAAA GGCTTATTAG GCACCTGAGA AGGCTTAATAA
  MC1_01TAI  TGCCTAAATA ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
;
End;
Begin trees;
  TREE tree=((MC1_01B4fs,MC1_01A10),(MC1_01C1),(MC1_01A20,MC1_01TAI));
End;

```

Make sure these match!

No stop codons!

NIAID

NIH National Institute of Allergy and Infectious Diseases

**HyPhy: Input file formats**

**GAPS!**

**Sequence data**

```

S 100 I
MC1_01B4fs  TGCATTAAT ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
MC1_01A10  TGCACT-----A ATCTGACAAA GGCTTATTAG ACCAATGGGA ATGCTTAATAA
MC1_01C1  TGCATTAAT ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
MC1_01A20  TGCATTAAT ATCTGACAAA GGCTTATTAG GCACCTGAGA AGGCTTAATAA
MC1_01TAI  TGCATTAAT ATCTGATTT-----AT ATCACTGAGA ATACTTAATAA
;
TACCATTAATC AAT-----A -ACCACTAT TAATAGCGGG GGAGAAATAAA
TACCATTAATC ATATCCACTA AGAATGACCAT TACTTAATCC ACTGGAATAAA
TACCATTAATC AAT-----A -ACCACTAT TAATAGCGGG GGAGAAATAAA
TACCATTAATC AAT-----A -ACCACTAT TAATAGCGGG GGAGAAATAAA
TACCATTAATC AAT-----A -ACCACTAT TAATAGCGGG GGAGAAATAAA

```

Gaps must be in multiples of three and preserve the reading frame!

ML models cannot analyze gapped codon sites – they will be ignored for the analysis.

NIAID

NIH National Institute of Allergy and Infectious Diseases

**HyPhy: data input**

**DATAMONKEY**  
RAPID DETECTION OF POSITIVE SELECTION  
a Web-Server of the NIAID

ANALYZE YOUR DATA | HOME | DOWNLOAD | CONTACT | FOR QUESTIONS | SEARCH | HELP | LOGOUT

Choosing your data: Examples: influenza A H3N2 hemagglutinin | HIV-1 p17 (recombinant) data | Browse... |

Please note that all selection analyses require a coding alignment. See the help for the use of Datamonitor and data types that they can accept. To ensure that no single job takes too long to run, there also are limits on the number of submissions based on the analysis type.

Data type: Codon |  Universal code |  Custom code

If you suspect that your data may contain recombinant sequences, please run a recombination (RSP or GARD) screen prior to performing selection analyses on Datamonitor. Recombination has retained selection outcomes if it is not encountered (detected).

Click to close | Close

NIAID

NIH National Institute of Allergy and Infectious Diseases

# Codon frequency models

0: 1/61 – All codons equally probable

1: F1x4 - Codon frequencies based on overall nucleotide frequencies ( $f_A$ ,  $f_C$ ,  $f_G$ ,  $f_T$ )

2: F3x4 - Codon frequencies based on nucleotide frequencies at each codon site ( $f_{A1}$ ,  $f_{C1}$ ,  $f_{G1}$ ,  $f_{T1}$ ,  $f_{A2}$ ,  $f_{C2}$ ,  $f_{G2}$ ,  $f_{T2}$ ,  $f_{A3}$ ,  $f_{C3}$ ,  $f_{G3}$ ,  $f_{T3}$ )

3: F61 - Codon frequencies based on frequency of each codon in the data ( $f_{aaA}$ ,  $f_{aaC}$ ,  $f_{aaG}$ ,  $f_{aaT}$ ,  $f_{acA}$ ,  $f_{acC}$ ,  $f_{acG}$ ,  $f_{acT}$ ,  $f_{agA}$ ,  $f_{agC}$ ,  $f_{agG}$ ,  $f_{agT}$ , ...)

F3x4 is used in the MEME analysis

# HyPhy: data output

# HyPhy: data output

Codon	alpha	beta	omega	R	LRT	pL	U <sub>L</sub>	p-value	q-value	Log(L)
125	0.0000	0.0000	0.7825	0.0010	0.0001	0.0001	0.0001	0.0001	0.0001	-36.9557
153	0.9138	0.0000	0.8937	49.5335	0.1073	8.7797	0.0001	0.0001	0.0001	-23.0683
163	0.6644	0.0000	0.8478	20.0720	0.1522	5.4309	0.0001	0.0001	0.0001	-25.8135
188	0.0000	0.0000	0.9673	188.3800	0.0327	9.7907	0.0033	0.0033	0.0033	-12.7060
211	0.7245	0.0000	0.9660	4586.8100	0.0001	11.9600	0.0011	0.0011	0.0011	-22.5928
218	0.0000	0.0000	0.8600	0.0001	0.0038	0.0001	0.0001	0.0001	0.0001	-10.3460
234	0.0000	0.0000	0.8516	362.5510	0.1840	10.8951	0.0027	0.0027	0.0027	-35.2669
237	0.0960	0.0969	0.7191	17.6813	0.2809	12.3776	0.0007	0.0007	0.0007	-35.2664
239	0.6719	0.0000	0.8254	11.6738	0.1740	5.9541	0.0232	0.0232	0.0232	-22.8152
264	0.0000	0.0000	0.9370	8.8091	0.0630	6.6746	0.0160	0.0565	0.0565	-11.4430

# Selection Analysis

## Selection Analysis

Significance of Results

Selection analysis only determines if there is a significant **excess** or **lack** of non-synonymous substitution. The relative level of physiochemical similarity among the two amino acids is beyond the capabilities of selection analysis software.

**NIAID**

NIH National Institute of Allergy and Infectious Diseases

---



---



---



---



---



---



---

## References

- ❖ Fundamentals of Molecular Evolution. 2nd edition. Grauer and Li. 2000  
A good introduction to the major concepts in molecular evolution.
- ❖ The Phylogenetics Handbook. P. Lemey, editor. 2005.  
Chapter 14: Theory of and practice of diversifying selection analysis.
- ❖ MEME reference:  
Murrell B, et al. (2012) Detecting individual sites subject to episodic diversifying selection. *PLoS Genetics*, 8(7):e1002764.

**NIAID**

NIH National Institute of Allergy and Infectious Diseases

---



---



---



---



---



---



---

## Seminar Follow-Up Site

- For access to past recordings, handouts, slides visit this site from the NIH network: <http://collab.niaid.nih.gov/sites/research/SIG/Bioinformatics/>

Recommended Browsers:

- IE for Windows,
- Safari for Mac (Firefox on a Mac is incompatible with NIH Authentication technology)
- If prompted to log in use "NIH" in front of your username

**NIAID**

NIH National Institute of Allergy and Infectious Diseases

36

---



---



---



---



---



---



---

# Retrieving Slides/Handouts



This lecture series

National Institute of Allergy and Infectious Diseases

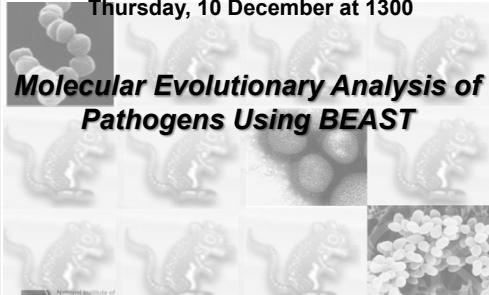
NIAID

# Questions?

**Next**

Thursday, 10 December at 1300

**Molecular Evolutionary Analysis of Pathogens Using BEAST**



NIH National Institute of Allergy and Infectious Diseases

NIAID

40

---

---

---

---

---

---

---